

**BAKER BOTTS LLP**



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Amdt. Trans.  
PATENT

Our File No.: A33063-067691.0115

Date: 11 January 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Alexander TUZHILIN et al.  
Appl. Serial No. : 09/013,490  
Filing Date : January 26, 1998  
For : METHOD AND APPARATUS FOR MONITOR AND  
NOTIFICATION IN A NETWORK (as amended)  
Examiner : T. Lee  
Art Unit : 2758

Assistant Commissioner  
for Patents  
Washington, DC 20231  
Box AF

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

11 January 2001

Gary Abelev (Reg. No. 40,479)

Sir:

Transmitted herewith is an amendment after Final Office Action in the above-identified  
application.

1. ☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established  
by a verified statement previously submitted.
2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is  
enclosed.

3. ☒ Additional fee is required.

The fee has been calculated as shown below:

		Claims remaining after amendt. (Col. 1)	Highest No. Prev. Paid for (Col. 2)		Present extra (Col. 3)	<u>SMALL ENTITY</u>			<u>OTHER THAN A SMALL ENTITY</u>	
						<u>RATE</u>	<u>FEE</u>	<u>or</u>	<u>RATE</u>	<u>FEE</u>
Total	*	42	Minus **40	=	2	x 9 =	\$18	<u>or</u>	x 18 =	
Ind.	*	12	Minus ***3	=	9	x 40 =	\$360	<u>or</u>	x 80 =	
( ) First Presentation of Multiple Dependent Claim						+ 135 =	\$0	<u>or</u>	+ 270 =	
TOTAL ADDITIONAL FEE						=	\$378	<u>or</u>	TOTAL	=

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. prev. paid for" in this space is less than 20, write "20" in this space.

\*\*\* If the "Highest No. prev. paid for" in this space is less than 3, write "3" in this space.

4.(a)☐ An Extension of Time to respond to the PTO communication dated October 12, 2000 is hereby requested.

Extension for response (check only one):

	<u>SMALL ENTITY</u>	<u>OTHER THAN A SMALL ENTITY</u>
Within first month <input type="checkbox"/>	\$ 55	<input type="checkbox"/> \$ 110
Within second month <input type="checkbox"/>	190	<input type="checkbox"/> 380
Within third month <input type="checkbox"/>	435	<input type="checkbox"/> 870
Within fourth month <input type="checkbox"/>	680	<input type="checkbox"/> 1,360

(check and complete the next item, if applicable)

☐ An extension for months has already been secured and the fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$0.00.

or

(b)☒ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. ☒ Please charge our Deposit Account No. 02-4377 in any amount necessary for the petition in 4(b). Two copies of this sheet are enclosed.
6. ☒ A check in the amount of \$378.00 is enclosed herewith for the excess claim(s).
7. ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 and/or 37 CFR 1.17 associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.
8. ☐ The following documents are also enclosed herewith:

BAKER BOTTS L.L.P.  
30 Rockefeller Plaza, 44th floor  
New York, New York 10112-0228

By



Gary Abelev

PTO Registration No. 40,479

Enclosures